

## Staff Budget Analysis: Corrections Programs and Operations

The Utah Department of Corrections (UDC) supervises and manages convicted felons. The Department protects Utah's communities by operating safe, secure facilities that hold offenders accountable through humane, firm, fair, and consistent practices. It provides a wide range of treatment, education and vocational programs to help offenders become productive citizens.<sup>1</sup> The Programs and Operations line-item covers all of Corrections activities with the exception of Medical Services, Jail Contracting and Reimbursement, Utah Correctional Industries, and the Corrections Data Processing Internal Service Fund.

### Issues and Recommendations

The Office of the Legislative Fiscal Analyst recommends \$138,363,700 (-0.46%) including \$132,976,800 from the General Fund (-0.28%) for Corrections Programs and Operations in fiscal year 2005. The decrease in available resources is largely related to declining estimated federal funds and lower dedicated credits collections. General Fund resources decrease due to expenditure of one-time appropriations for extra pay day in FY 2004.

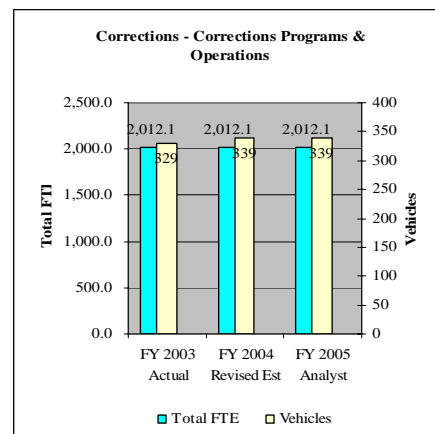
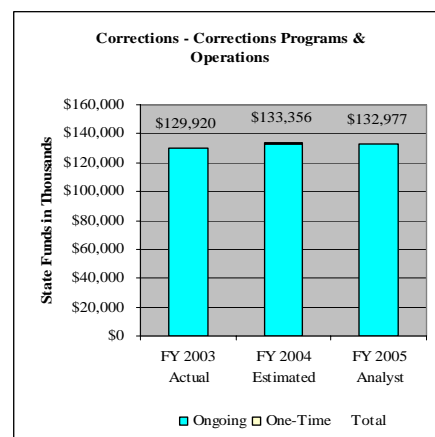
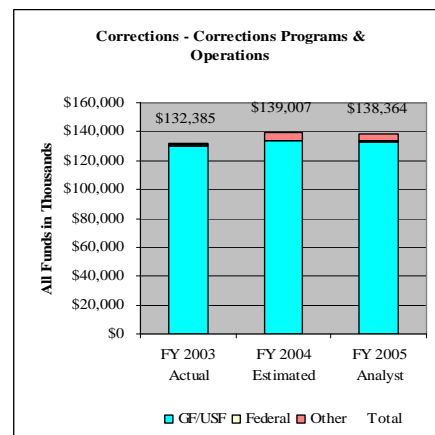
**Gunnison Operations:** In September 2003 Corrections added 192 additional beds at its Central Utah Correctional Facility (Gunnison). The Department requests \$2,199,700 and 35 additional full-time equivalent (FTE) employees to operate the facility. UDC will cover FY 2004 costs with non-lapsing balances.

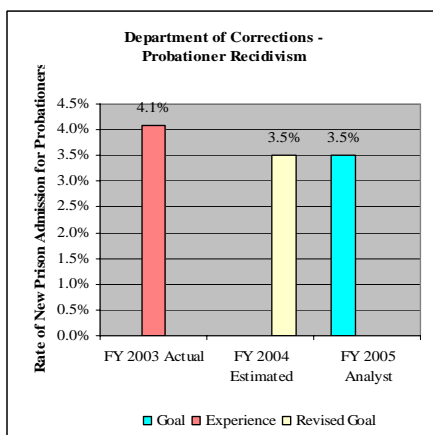
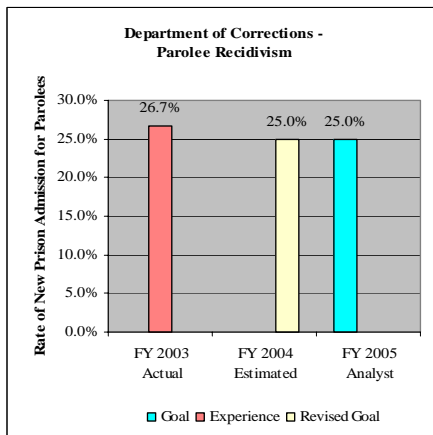
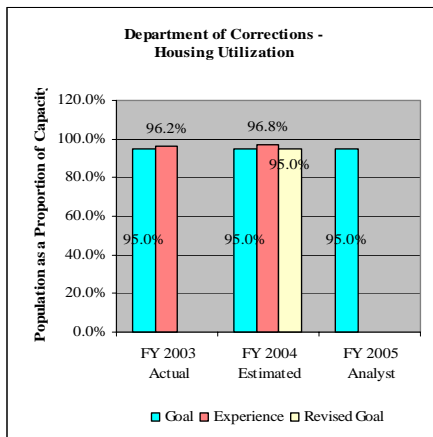
**Additional Capacity for Females:** At the beginning of FY 2004, Corrections' female population exceeded its maximum capacity of dedicated female beds. While the Department is managing the excess population in the short-term, it presents two longer term alternatives: 1.) open the VOITIS dormitory (+300 beds, \$5.5 mil. ongoing, \$1.3 mil. one-time); or 2.) Purchase Salt Lake County's Oxbow facility (+368 beds = \$7.8 mil ongoing, +92 bed half-way house = \$1.6 mil. ongoing, purchase and renovation = \$11 mil. one-time).

**Non-lapsing Balances and Turnover Savings:** The Analyst projects that Corrections will end FY 2004 with more than \$4 million in year-end balances. The Department returned \$1.7 million to the General Fund in FY 2003, and still ended the year with \$1.4 million in reserve. Additionally, Corrections does not account for the proportion of their staff positions that are regularly vacant. Turn-over savings from these structural vacancies could approach \$5 million. The Subcommittee may wish to investigate these areas as offsets for other spending.

**Line Item Consolidation:** For fiscal years 2003 and 2004, the Legislature allowed Corrections to collapse administration, probation and parole, and institutional operations into a single line item. As this was intended as a short-term mechanism to ameliorate budget reductions, the Subcommittee may wish to reaffirm its action.

**Narcotics Dogs Funding:** In a floor amendment to the FY 2004 Appropriations Act, Corrections received \$12,900 ongoing for drug detecting canines. The Analyst believes these funds were intended to be one-time and recommends a \$12,900 reduction in UDC's base budget.





## Performance Goals and Measures

The Legislative Fiscal Analyst recommends the Legislature include in the annual appropriations act the following goals for the Utah Department of Corrections. These goals are not binding, but instead serve as a baseline against which to measure UDC's performance. Together with careful review of independent variables also impacting them, these goals provide accountability for investment of public funds.

**Housing Utilization – 95% of Maximum Capacity:** An important aspect of Corrections' operations is utilization of its physical space. Population and capacity both vary over time, yet Corrections sets 95% of maximum capacity as its "operational capacity". The additional 5% allows Corrections flexibility to meet short-term population growth.

Overhead capacity also allows the Department to more efficiently manage inmates as the make-up of its population changes. More of a given population type – for example, female prisoners – might force Corrections to move all females from one facility to another. The additional capacity allows this without "cascading" a number of population groups from one facility to another.

**Parolee Recidivism – 25% Parolee Prison Readmissions:** A major goal of the Department of Corrections is to rehabilitate offenders sentenced to prison. UDC provides inmates with training, counseling, and – once they are paroled – supervision, so that offenders develop skills to be productive members of society. A good measure of Corrections' success in accomplishing this goal is the percentage of parolees that are readmitted to prison. The Department of Corrections sets as its goal 25% or less of parolees.

**Probationer Recidivism – 3.5% Probationer Prison Readmission:** The Department of Corrections also provides services to felons sentenced to probation. These offenders are not housed in state facilities full-time, but they do receive supervision and counseling from Adult Probation and Parole officials.

Probation officers attempt to keep offenders out of prison by monitoring probationers' daily activities, setting education and employment goals, and observing housing patterns. A good measure of Corrections'

success in this endeavor is the number of probationers admitted to prison. Corrections sets as its goal for Probationer Recidivism 3.5% or less of probationers.

The Fiscal Analyst notes that these goals and measures do not occur in a vacuum. Other independent variables, like sentencing guidelines, felony filings, felony arrests – and even more general factors such as crime rate and economic growth – impact Corrections. The Analyst encourages the Legislature to consider these factors as well when comparing the Department of Corrections' performance against its stated goals.

## Appropriation Recommendation

The following is a draft line item for the annual appropriations act. It represents the act as it relates to Corrections per the Legislative Fiscal Analyst's recommendation. It is a "straw-man" upon which the subcommittee may wish to build in formulating and voting upon recommendations to the Executive Appropriations Committee.

### DRAFT APPROPRIATIONS LANGUAGE

ITEM N	To Utah Department of Corrections - Programs and Operations	
	From General Fund	132,976,800
	From Federal Funds	696,300
	From Dedicated Credits Revenue	2,841,100
	From General Fund Restricted - DNA Specimen Account	515,000
	From General Fund Restricted - Tobacco Settlement Account	81,700
	From Crime Victims Reparation Trust	750,000
	From Revenue Transfers - Commission on Criminal and Juvenile Justice	502,800
	Schedule of Programs:	
	Department Executive Director	3,512,500
	Department Administrative Services	8,196,600
	Department Training	1,148,700
	Adult Probation and Parole Administration	1,098,400
	Adult Probation and Parole Programs	37,985,400
	Institutional Operations Administration	3,546,100
	Institutional Operations Draper Facility	51,765,500
	Institutional Operations Central Utah/Gunnison	19,845,300
	Institutional Operations Inmate Placement	1,634,500
	Institutional Operations Programming	6,565,500
	Institutional Operations Support Services	3,065,200

The Legislature intends that the Utah Department of Corrections pursue the following performance goals in Fiscal Year 2005:

Housing Utilization: 95% of Maximum Capacity

Parolee Recidivism: 25% New Prison Admission of Parolees

Probationer Recidivism: 3.5% New Prison Admission of Probationers

## Additional Information – Corrections Programs and Operations

	2001	2002	2003	2004	2005
<b>Financing</b>	<b>Actual</b>	<b>Actual</b>	<b>Actual</b>	<b>Estimated*</b>	<b>Analyst</b>
General Fund	131,550,700	148,666,200	129,920,300	132,976,800	132,976,800
General Fund, One-time				379,300	
Federal Funds	327,800	676,400	754,400	746,300	696,300
Dedicated Credits Revenue	2,891,400	2,982,600	2,880,700	2,746,800	2,841,100
General Fund Restricted		81,700			
GFR - DNA Specimen			155,000	515,000	515,000
GFR - Tobacco Settlement	81,700		81,700	81,700	81,700
Crime Victims Reparation Trust			1,400,000	750,000	750,000
Transfers		463,500	12,900		
Transfers - CCJJ	1,178,100	587,900	1,092,800	547,200	502,800
Transfers - Corrections		8,800			
Transfers - Federal		697,800	69,700		
Transfers - Human Services			261,400	264,100	
Transfers - Other Funds		85,200			
Pass-through	29,200				
Beginning Nonlapsing	4,051,900	2,126,700	848,000	4,111,300	4,111,300
Closing Nonlapsing	(2,116,400)	(848,000)	(4,111,400)	(4,111,300)	(4,111,300)
Lapsing Balance			(981,000)		
<b>Total</b>	<b>\$137,994,400</b>	<b>\$155,528,800</b>	<b>\$132,384,500</b>	<b>\$139,007,200</b>	<b>\$138,363,700</b>
<b>Programs</b>					
Department Executive Director	1,633,300	1,620,000	8,108,100	7,989,100	3,512,500
Department Administrative Services	6,657,500	6,436,300	3,705,100	3,760,500	8,196,600
Department Training	789,700	763,300	1,258,300	1,152,500	1,148,700
Adult Probation and Parole Admin	959,500	1,177,200	977,700	1,100,700	1,098,400
AP&P Programs	27,844,900	27,648,600	27,308,700	38,171,200	37,985,400
AP&P Community Corrections Ctrs	9,441,300	9,631,900	8,777,600		
Institutional Operations Admin	4,220,300	2,892,000	2,284,400	3,575,600	3,546,100
DIO Draper Facility	54,306,700	57,446,100	50,760,900	51,930,800	51,765,500
DIO Central Utah/Gunnison	21,082,300	21,512,600	19,206,900	19,917,800	19,845,300
DIO Southern Utah/Iron County	1,754,900	1,924,200	354,700		
DIO Placement	1,526,200	1,463,100	1,410,600	1,688,400	1,634,500
DIO Transition	5,654,100	3,384,400			
DIO Programming			5,836,700	6,646,900	6,565,500
DIO Support Services	2,123,700	2,433,900	2,394,800	3,073,700	3,065,200
DIO Jail Contracting		17,195,200			
<b>Total</b>	<b>\$137,994,400</b>	<b>\$155,528,800</b>	<b>\$132,384,500</b>	<b>\$139,007,200</b>	<b>\$138,363,700</b>
<b>Expenditures</b>					
Personal Services	99,842,700	104,308,900	103,516,900	107,719,000	107,399,900
In-State Travel	213,100	110,400	58,800	87,700	87,000
Out of State Travel	65,500	41,300	26,400	900	900
Current Expense	31,770,800	27,480,700	24,038,700	25,461,800	25,036,500
DP Current Expense	3,486,800	2,268,700	2,368,400	2,228,000	2,228,200
DP Capital Outlay	34,500	951,800	98,200		
Capital Outlay	232,000	25,900	95,600	254,100	497,400
Other Charges/Pass Thru	2,657,800	19,981,900	2,202,700	3,255,700	3,113,800
Cost of Goods Sold	(308,800)	359,200	(21,200)		
<b>Total</b>	<b>\$137,994,400</b>	<b>\$155,528,800</b>	<b>\$132,384,500</b>	<b>\$139,007,200</b>	<b>\$138,363,700</b>
<b>FTE/Other</b>					
Total FTE	2,029	2,040	2,012	2,012	2,012
Vehicles	211	278	329	339	339

\*Non-state funds as estimated by agency.

<sup>1</sup> About the Utah Department of Corrections. *About Corrections – Utah Department of Corrections.* <http://www.cr.ex.state.ut.us/about.html>. Viewed 1/26/04.

Office of the  
Legislative Fiscal Analyst

Joint Appropriations Subcommittee for  
Executive Offices and Criminal Justice

## Appendix A: Program Level Budget Detail

Utah Department of Corrections  
**Programs and Operations**

Contents:

- 1.0 Summary
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- 3.0 Programs
- 4.0 Additional Information

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## 1.0 Summary: Utah Department of Corrections Programs and Operations

The Department of Corrections is the adult correctional authority for the State of Utah and has community protection as its primary mission. The mission statement of the Department reads as follows:

“We are corrections professionals, dedicated to protecting our community by enforcing orders of the court and Board of Pardons. We are also dedicated to guiding offenders to become law abiding citizens through the use of professional and community resources.”

To accomplish their mission, the Department must develop and provide programs that identify and control the convicted offender’s inappropriate behavior, and help the offenders in functioning as law-abiding citizens.

	Analyst FY 2005 Base	Analyst FY 2005 Changes	Analyst FY 2005 Total
<b>Financing</b>			
General Fund	132,989,700	(12,900)	132,976,800
Federal Funds	696,300		696,300
Dedicated Credits Revenue	2,841,100		2,841,100
GFR - DNA Specimen	515,000		515,000
GFR - Tobacco Settlement	81,700		81,700
Crime Victims Reparation Trust	750,000		750,000
Transfers - CCJJ	502,800		502,800
<b>Total</b>	<b>\$138,376,600</b>	<b>(\$12,900)</b>	<b>\$138,363,700</b>
<b>Programs</b>			
Department Executive Director	3,512,500		3,512,500
Department Administrative Services	8,196,600		8,196,600
Department Training	1,148,700		1,148,700
Adult Probation and Parole Admin	1,098,400		1,098,400
AP&P Programs	37,985,400		37,985,400
Institutional Operations Admin	3,559,000	(12,900)	3,546,100
DIO Draper Facility	51,765,500		51,765,500
DIO Central Utah/Gunnison	19,845,300		19,845,300
DIO Inmate Placement	1,634,500		1,634,500
DIO Programming	6,565,500		6,565,500
DIO Support Services	3,065,200		3,065,200
<b>Total</b>	<b>\$138,376,600</b>	<b>(\$12,900)</b>	<b>\$138,363,700</b>
<b>FTE/Other</b>			
Total FTE	2,012		2,012
Vehicles	339		339

### 3.0 Programs: Utah Department of Corrections Programs and Operations

#### 3.01 UDC Administration: Executive Director's Office

##### Purpose

The Executive Director of Corrections has direct administrative responsibility for the entire Department. The Director is the Department spokesperson and representative on The Commission for Criminal and Juvenile Justice and interfaces with areas such as the Board of Pardons, Courts, Legislature, etc. As chief executive, he provides the direction for the Department as a whole and establishes major policies and priorities to be implemented by the Department.

The Executive Director is assisted by The Corrections Advisory Council, which is appointed by the Governor.

	2003 Actual	2004 Estimated*	2005 Analyst	Est/Analyst Difference
<b>Financing</b>				
General Fund	7,901,600	7,620,200	3,088,900	(4,531,300)
General Fund, One-time		30,900		(30,900)
Federal Funds	127,200	306,300	306,300	
Dedicated Credits Revenue	24,100	31,700	2,600	(29,100)
Transfers - CCJJ	284,800		114,700	114,700
Beginning Nonlapsing	10,000			
Closing Nonlapsing	(144,100)			
Lapsing Balance	(95,500)			
<b>Total</b>	<b>\$8,108,100</b>	<b>\$7,989,100</b>	<b>\$3,512,500</b>	<b>(\$4,476,600)</b>
<b>Expenditures</b>				
Personal Services	7,271,700	7,214,000	3,017,900	(4,196,100)
In-State Travel	9,800	27,100	20,100	(7,000)
Out of State Travel	13,400			
Current Expense	370,600	501,500	414,500	(87,000)
DP Current Expense	344,400	246,500	60,000	(186,500)
DP Capital Outlay	98,200			
<b>Total</b>	<b>\$8,108,100</b>	<b>\$7,989,100</b>	<b>\$3,512,500</b>	<b>(\$4,476,600)</b>
<b>FTE/Other</b>				
Total FTE	113	113	46	(67)
Vehicles	7	8	8	

\*Non-state funds as estimated by agency



### 3.02 UDC Administration: Administrative Services

#### Purpose

Administrative Services is responsible for the Department facilities' construction, planning and research, contracts and records, professional standards and ethics, Community Relations, and training (shown by the Analyst as a separate budget).

	2003	2004	2005	Est/Analyst
<b>Financing</b>	<b>Actual</b>	<b>Estimated*</b>	<b>Analyst</b>	<b>Difference</b>
General Fund	3,623,100	3,612,400	8,145,400	4,533,000
General Fund, One-time		11,300		(11,300)
Dedicated Credits Revenue	3,800	22,100	51,200	29,100
Transfers - CCJJ	101,800	114,700		(114,700)
Closing Nonlapsing	(23,600)			
<b>Total</b>	<b>\$3,705,100</b>	<b>\$3,760,500</b>	<b>\$8,196,600</b>	<b>\$4,436,100</b>
<b>Expenditures</b>				
Personal Services	2,770,200	2,687,200	6,849,100	4,161,900
In-State Travel	3,100	6,800	13,800	7,000
Out of State Travel	4,800			
Current Expense	858,500	1,004,000	1,084,500	80,500
DP Current Expense	68,500	62,500	249,200	186,700
<b>Total</b>	<b>\$3,705,100</b>	<b>\$3,760,500</b>	<b>\$8,196,600</b>	<b>\$4,436,100</b>
<b>FTE/Other</b>				
Total FTE	44	44	111	67
Vehicles	21	22	22	

\*Non-state funds as estimated by agency

*The Financial Service Bureau* processes more than 300,000 documents in a year. These include: Department payroll (for over 2,300 FTE) and leave accounting, expenditure and revenue accounting, purchasing coordination, and annual budget documents preparation.

*The Bureau of Human Resource Mgt* supports UDC's mission by administering human resource management functions including: Recruitment/Selection, Classification, Employee Development, EEO, Employee Relations and Compensation programs. In FY 2002 Human Resources conducted 100 recruitments, 74 classification audits, 142 benefits enrollments and 44 retirements.

Due to the present rate of growth of the Corrections system and demand for the very specialized facilities used for confinement of offenders, the Division has a *Facilities and Construction Bureau*. This bureau coordinates with DFCM and other technical input and review for all related projects and construction.

*The Records Bureau* is responsible for Department Total Quality Management (TQM) programs and both internal and external records systems and issues coordination.

*The Information Technology Bureau* handles the department's hardware and software needs. The Department of Corrections is becoming increasingly reliant on information technology to fulfill its mission. It has undertaken an aggressive initiative to overhaul all aspects of its record system and to automate routine procedures.

### 3.03 UDC Administration: Training

#### Purpose

Centered on the Fred House Academy facility in Draper, the corrections training unit provides:

- ▶ 11 academies (7 UDC, 2 Salt Lake County, 1 Weber County and 1 Washington County)
- ▶ 475 officers received pre-service training, 131 of these were Department employees
- ▶ In-service classes
  - 109,784 in-service hours were offered
  - 2,666 staff trained
  - 257 non-staff trained
- ▶ The Conversion Academy - trains correctional officers to operate as police officers (the equivalent of POST certification).
- ▶ Basic supervision courses
  - An Advanced Supervisor course
- ▶ Special courses:
  - Examples are: Blood-borne Pathogens, Government Records Management Act (GRAMA), Americans with Disabilities Act, etc.

	2003	2004	2005	Est/Analyst
Financing	Actual	Estimated*	Analyst	Difference
General Fund	1,272,200	1,143,900	1,144,100	200
General Fund, One-time		4,000		(4,000)
Dedicated Credits Revenue	400	4,600	4,600	
Closing Nonlapsing	(14,300)			
<b>Total</b>	<b>\$1,258,300</b>	<b>\$1,152,500</b>	<b>\$1,148,700</b>	<b>(\$3,800)</b>
<b>Expenditures</b>				
Personal Services	1,101,500	919,000	915,900	(3,100)
In-State Travel	3,200	12,700	12,000	(700)
Out of State Travel	1,000			
Current Expense	130,300	196,900	196,900	
DP Current Expense	22,300	23,900	23,900	
<b>Total</b>	<b>\$1,258,300</b>	<b>\$1,152,500</b>	<b>\$1,148,700</b>	<b>(\$3,800)</b>
<b>FTE/Other</b>				
Total FTE	16	16	16	
Vehicles	3	3	3	

\*Non-state funds as estimated by agency

### 3.04 Adult Probation and Parole (AP&P): Administration

#### Purpose

AP&P Administration coordinates the parole, probation, DNA testing, and sex offender registry efforts throughout the state. The office is responsible for providing policy making and administrative support that assists the Division in fulfilling its statutory mandates.

	2003 Actual	2004 Estimated*	2005 Analyst	Est/Analyst Difference
<b>Financing</b>				
General Fund	1,049,100	1,097,000	1,098,400	1,400
General Fund, One-time		3,700		(3,700)
Dedicated Credits Revenue	1,600			
Transfers	13,800			
Beginning Nonlapsing	172,000			
Closing Nonlapsing	(258,800)			
<b>Total</b>	<b>\$977,700</b>	<b>\$1,100,700</b>	<b>\$1,098,400</b>	<b>(\$2,300)</b>
<b>Expenditures</b>				
Personal Services	770,300	649,800	647,600	(2,200)
In-State Travel	1,600	2,200	2,200	
Current Expense	177,800	179,100	179,100	
DP Current Expense	27,900	26,500	26,500	
Other Charges/Pass Thru	100	243,100	243,000	(100)
<b>Total</b>	<b>\$977,700</b>	<b>\$1,100,700</b>	<b>\$1,098,400</b>	<b>(\$2,300)</b>
<b>FTE/Other</b>				
Total FTE	11	11	11	
Vehicles	2	2	2	

\*Non-state funds as estimated by agency

### 3.05 AP&P: Programs

#### Purpose

Adult Probation and Parole was created as a separate entity within the Department in 1983 and fulfills the requirements of the corrections' statute for pre-sentence investigations and community supervision. Adult Probation and Parole Programs are responsible for: 1) Pre-sentence Investigations for the Courts, 2) Adult Probation, Adult Parole, and 3) Community Correction Centers (halfway houses).

	2003	2004	2005	Est/Analyst
<b>Financing</b>	<b>Actual</b>	<b>Estimated*</b>	<b>Analyst</b>	<b>Difference</b>
General Fund	25,788,000	34,821,200	34,826,800	5,600
General Fund, One-time		97,700		(97,700)
Dedicated Credits Revenue	1,892,500	2,407,100	2,313,400	(93,700)
GFR - Tobacco Settlement	81,700	81,700	81,700	
Crime Victims Reparation Trust	178,000	750,000	750,000	
Transfers - CCJJ	141,000	13,500	13,500	
Closing Nonlapsing	(502,200)			
Lapsing Balance	(270,300)			
<b>Total</b>	<b>\$27,308,700</b>	<b>\$38,171,200</b>	<b>\$37,985,400</b>	<b>(\$185,800)</b>
<b>Expenditures</b>				
Personal Services	22,199,100	30,408,900	30,314,900	(94,000)
In-State Travel	27,100	20,700	20,700	
Out of State Travel	1,700			
Current Expense	3,445,500	4,314,200	4,314,200	
DP Current Expense	856,500	915,800	915,800	
Other Charges/Pass Thru	778,800	2,511,600	2,419,800	(91,800)
<b>Total</b>	<b>\$27,308,700</b>	<b>\$38,171,200</b>	<b>\$37,985,400</b>	<b>(\$185,800)</b>
<b>FTE/Other</b>				
Total FTE	428	563	563	
Vehicles	174	176	176	

\*Non-state funds as estimated by agency

### 3.06 AP&P: Community Corrections Centers

#### Purpose

Prior to FY 2004, this budgetary program accounted for Community Correction Centers (half-way houses) and Day Reporting Centers. There are four CCCs, three in the Salt Lake Valley and one in Ogden. CCCs require residents to have a job, pay for part of their costs, receive training and therapy, and be in residence. Day reporting centers are similar and may be co-located, but they have no residence requirement. All funds were shifted to other UDC programs in FY 2004.

	2003 Actual	2004 Estimated*	2005 Analyst	Est/Analyst Difference
<b>Financing</b>				
General Fund	9,049,600			
Dedicated Credits Revenue	517,500			
Transfers - Federal	69,700			
Closing Nonlapsing	(859,200)			
<b>Total</b>	<u>\$8,777,600</u>	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>
<b>Expenditures</b>				
Personal Services	5,888,400			
In-State Travel	300			
Out of State Travel	600			
Current Expense	1,380,600			
DP Current Expense	134,000			
Other Charges/Pass Thru	1,373,700			
<b>Total</b>	<u>\$8,777,600</u>	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>
<b>FTE/Other</b>				
Total FTE	135			
*Non-state funds as estimated by agency				

### 3.07 Division of Institutional Operations (DIO): Administration

#### Purpose

The Division of Institutional Operations manages the inmate population. It is the Division that demands the Department's most expensive infrastructure and over half of all department employees while supervising approximately 5,500 inmates in three separate state facilities and under contracts in other locations.

Institutional Operations Administration is responsible for providing the policy making and administrative support that assists the Division of Institutional Operations in meeting its statutory mandates of providing confinement and control for offenders committed to the state prison system. Institutional Operations Administration manages all aspects of the state's prison system.

	2003 Actual	2004 Estimated*	2005 Analyst	Est/Analyst Difference
<b>Financing</b>				
General Fund	846,600	2,653,500	2,653,400	(100)
General Fund, One-time		15,400		(15,400)
Federal Funds	627,200	340,000	340,000	
Dedicated Credits Revenue	30,200	51,700	37,700	(14,000)
GFR - DNA Specimen	155,000	515,000	515,000	
Beginning Nonlapsing	666,000	4,111,300	4,111,300	
Closing Nonlapsing	574,600	(4,111,300)	(4,111,300)	
Lapsing Balance	(615,200)			
<b>Total</b>	<b>\$2,284,400</b>	<b>\$3,575,600</b>	<b>\$3,546,100</b>	<b>(\$29,500)</b>
<b>Expenditures</b>				
Personal Services	786,900	854,000	851,200	(2,800)
In-State Travel	1,400			
Out of State Travel	200			
Current Expense	1,462,600	2,350,000	2,323,300	(26,700)
DP Current Expense	18,200	31,600	31,600	
Capital Outlay	15,100			
Other Charges/Pass Thru		340,000	340,000	
<b>Total</b>	<b>\$2,284,400</b>	<b>\$3,575,600</b>	<b>\$3,546,100</b>	<b>(\$29,500)</b>
<b>FTE/Other</b>				
Total FTE	15	15	15	
Vehicles	4	4	4	

\*Non-state funds as estimated by agency

**3.08 DIO: Draper Operations****Purpose**

The Draper Operations program includes funding for the operations of the Utah State Prison at Draper. It includes appropriations for administration, programming, security and support, food service, maintenance, external security, special operations, laundry, and mail.

	<b>2003</b>	<b>2004</b>	<b>2005</b>	<b>Est/Analyst</b>
<b>Financing</b>	<b>Actual</b>	<b>Estimated*</b>	<b>Analyst</b>	<b>Difference</b>
General Fund	50,570,500	51,659,200	51,655,500	(3,700)
General Fund, One-time		135,600		(135,600)
Dedicated Credits Revenue	300,400	136,000	110,000	(26,000)
Crime Victims Reparation Trust	1,222,000			
Transfers	(900)			
Closing Nonlapsing	(1,331,100)			
<b>Total</b>	<b>\$50,760,900</b>	<b>\$51,930,800</b>	<b>\$51,765,500</b>	<b>(\$165,300)</b>
<b>Expenditures</b>				
Personal Services	39,707,200	40,193,200	40,076,200	(117,000)
In-State Travel	1,800	3,300	3,300	
Out of State Travel	2,400	900	900	
Current Expense	10,474,500	10,946,400	10,654,800	(291,600)
DP Current Expense	503,100	532,900	532,900	
Capital Outlay	36,200	254,100	497,400	243,300
Cost of Goods Sold	35,700			
<b>Total</b>	<b>\$50,760,900</b>	<b>\$51,930,800</b>	<b>\$51,765,500</b>	<b>(\$165,300)</b>
<b>FTE/Other</b>				
Total FTE	799	799	799	
Vehicles	50	54	54	

\*Non-state funds as estimated by agency



**3.09 DIO: Central Utah Correctional Facility (Gunnison)****Purpose**

The CUCF Operations program includes funding for the operations of the Utah State Prison at Gunnison, Utah. It includes appropriations for administration, programming, security and support, food service, maintenance, external security, special operations, laundry, and mail.

	<b>2003</b>	<b>2004</b>	<b>2005</b>	<b>Est/Analyst</b>
<b>Financing</b>	<b>Actual</b>	<b>Estimated*</b>	<b>Analyst</b>	<b>Difference</b>
General Fund	18,913,000	19,778,000	19,773,700	(4,300)
General Fund, One-time		50,200		(50,200)
Dedicated Credits Revenue	82,500	89,600	71,600	(18,000)
Closing Nonlapsing	211,400			
<b>Total</b>	<b>\$19,206,900</b>	<b>\$19,917,800</b>	<b>\$19,845,300</b>	<b>(\$72,500)</b>
<b>Expenditures</b>				
Personal Services	15,137,800	15,590,400	15,551,500	(38,900)
In-State Travel	700	1,600	1,600	
Current Expense	3,805,800	4,019,800	3,986,200	(33,600)
DP Current Expense	255,000	245,000	245,000	
Capital Outlay	14,400			
Other Charges/Pass Thru	50,100	61,000	61,000	
Cost of Goods Sold	(56,900)			
<b>Total</b>	<b>\$19,206,900</b>	<b>\$19,917,800</b>	<b>\$19,845,300</b>	<b>(\$72,500)</b>
<b>FTE/Other</b>				
Total FTE	283	283	283	
Vehicles	33	33	33	

\*Non-state funds as estimated by agency

**3.10 DIO: Iron County****Purpose**

The Iron County Resource Center was a jointly operated county jail/satellite prison. This funding was based on a contractual obligation for the State to pay 52 percent of the costs. The State has closed its portion of the prison.

	<b>2003 Actual</b>	<b>2004 Estimated*</b>	<b>2005 Analyst</b>	<b>Est/Analyst Difference</b>
<b>Financing</b>				
General Fund	204,600			
Dedicated Credits Revenue	23,000			
Closing Nonlapsing	127,100			
<b>Total</b>	<b>\$354,700</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>Expenditures</b>				
Personal Services	72,800			
In-State Travel	400			
Current Expense	278,700			
DP Current Expense	2,800			
<b>Total</b>	<b>\$354,700</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>

\*Non-state funds as estimated by agency

### 3.11 DIO: Transition Program

#### Purpose

The Transition program previously existed to track activities related to an offender's successful release from prison. The program has been integrated with other UDC activities, and is shown here for historical purposes only.

	2003 Actual	2004 Estimated*	2005 Analyst	Est/Analyst Difference
<b>Financing</b>				
General Fund	922,400			
Closing Nonlapsing	(922,400)			
<b>Total</b>	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>
<b>Expenditures</b>				
<b>Total</b>	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>

\*Non-state funds as estimated by agency

### 3.12 DIO: Programming

#### Purpose

The Programming budget includes funding for activities related to inmate training, treatment, counseling, and education. It covers substance abuse prevention, sex offender treatment, volunteer/religious service, and life skills opportunities. The program has a focus in providing opportunities for offenders to better understand the events leading to their incarceration and to thereby make the necessary changes to succeed upon release.

	2003	2004	2005	Est/Analyst
<b>Financing</b>	<b>Actual</b>	<b>Estimated*</b>	<b>Analyst</b>	<b>Difference</b>
General Fund	5,855,400	5,944,300	5,944,900	600
General Fund, One-time		19,500		(19,500)
Dedicated Credits Revenue	1,500		246,000	246,000
Transfers - CCJJ	565,200	419,000	374,600	(44,400)
Transfers - Human Services	261,400	264,100		(264,100)
Closing Nonlapsing	(846,800)			
<b>Total</b>	<b>\$5,836,700</b>	<b>\$6,646,900</b>	<b>\$6,565,500</b>	<b>(\$81,400)</b>
<b>Expenditures</b>				
Personal Services	4,624,000	5,339,400	5,322,400	(17,000)
In-State Travel	3,800	3,600	3,600	
Out of State Travel	700			
Current Expense	1,083,300	1,230,500	1,166,100	(64,400)
DP Current Expense	95,000	73,400	73,400	
Capital Outlay	29,900			
<b>Total</b>	<b>\$5,836,700</b>	<b>\$6,646,900</b>	<b>\$6,565,500</b>	<b>(\$81,400)</b>
<b>FTE/Other</b>				
Total FTE	96	96	96	

\*Non-state funds as estimated by agency

### 3.13 DIO: Inmate Placement

#### Purpose

The Inmate Placement program administers Jail Reimbursement and Jail Contracting across the state. By statute, the Department of Corrections may not use Jail Reimbursement core rate appropriations to cover the cost of administration. Contracting and Reimbursement themselves are discussed in separate budget analyses.

	2003 Actual	2004 Estimated*	2005 Analyst	Est/Analyst Difference
<b>Financing</b>				
General Fund	1,476,400	1,581,800	1,581,500	(300)
General Fund, One-time		3,600		(3,600)
Federal Funds		100,000	50,000	(50,000)
Dedicated Credits Revenue	3,200	3,000	3,000	
Closing Nonlapsing	(69,000)			
<b>Total</b>	<b>\$1,410,600</b>	<b>\$1,688,400</b>	<b>\$1,634,500</b>	<b>(\$53,900)</b>
<b>Expenditures</b>				
Personal Services	1,090,300	1,169,200	1,166,100	(3,100)
In-State Travel	5,200	9,700	9,700	
Current Expense	294,400	384,700	383,900	(800)
DP Current Expense	20,700	24,800	24,800	
Other Charges/Pass Thru		100,000	50,000	(50,000)
<b>Total</b>	<b>\$1,410,600</b>	<b>\$1,688,400</b>	<b>\$1,634,500</b>	<b>(\$53,900)</b>
<b>FTE/Other</b>				
Total FTE	19	19	19	
Vehicles	9	9	9	

\*Non-state funds as estimated by agency

### 3.14 DIO: Support Services

#### Purpose

The Support Services program is responsible for providing commissary services, warehouse services, inmate accounting, purchasing, transportation and motor pool. These services are fundamental for the day-to-day operation of the secure prison facilities.

	2003 Actual	2004 Estimated*	2005 Analyst	Est/Analyst Difference
<b>Financing</b>				
General Fund	2,447,800	3,065,300	3,064,200	(1,100)
General Fund, One-time		7,400		(7,400)
Dedicated Credits Revenue		1,000	1,000	
Closing Nonlapsing	(53,000)			
<b>Total</b>	<b>\$2,394,800</b>	<b>\$3,073,700</b>	<b>\$3,065,200</b>	<b>(\$8,500)</b>
<b>Expenditures</b>				
Personal Services	2,096,700	2,693,900	2,687,100	(6,800)
In-State Travel	400			
Out of State Travel	1,600			
Current Expense	276,100	334,700	333,000	(1,700)
DP Current Expense	20,000	45,100	45,100	
<b>Total</b>	<b>\$2,394,800</b>	<b>\$3,073,700</b>	<b>\$3,065,200</b>	<b>(\$8,500)</b>
<b>FTE/Other</b>				
Total FTE	53	53	53	
Vehicles	26	28	28	

\*Non-state funds as estimated by agency

**4.0 Additional Information: Utah Department of Corrections Programs and Operations**

<b>Financing</b>	<b>2001 Actual</b>	<b>2002 Actual</b>	<b>2003 Actual</b>	<b>2004 Estimated*</b>	<b>2005 Analyst</b>
General Fund	131,550,700	148,666,200	129,920,300	132,976,800	132,976,800
General Fund, One-time				379,300	
Federal Funds	327,800	676,400	754,400	746,300	696,300
Dedicated Credits Revenue	2,891,400	2,982,600	2,880,700	2,746,800	2,841,100
General Fund Restricted		81,700			
GFR - DNA Specimen			155,000	515,000	515,000
GFR - Tobacco Settlement	81,700		81,700	81,700	81,700
Crime Victims Reparation Trust			1,400,000	750,000	750,000
Transfers		463,500	12,900		
Transfers - CCJJ	1,178,100	587,900	1,092,800	547,200	502,800
Transfers - Corrections		8,800			
Transfers - Federal		697,800	69,700		
Transfers - Human Services			261,400	264,100	
Transfers - Other Funds		85,200			
Pass-through	29,200				
Beginning Nonlapsing	4,051,900	2,126,700	848,000	4,111,300	4,111,300
Closing Nonlapsing	(2,116,400)	(848,000)	(4,111,400)	(4,111,300)	(4,111,300)
Lapsing Balance			(981,000)		
<b>Total</b>	<b>\$137,994,400</b>	<b>\$155,528,800</b>	<b>\$132,384,500</b>	<b>\$139,007,200</b>	<b>\$138,363,700</b>
<b>Programs</b>					
Department Executive Director	1,633,300	1,620,000	8,108,100	7,989,100	3,512,500
Department Administrative Services	6,657,500	6,436,300	3,705,100	3,760,500	8,196,600
Department Training	789,700	763,300	1,258,300	1,152,500	1,148,700
Adult Probation and Parole Admin	959,500	1,177,200	977,700	1,100,700	1,098,400
AP&P Programs	27,844,900	27,648,600	27,308,700	38,171,200	37,985,400
AP&P Community Corrections Ctrs	9,441,300	9,631,900	8,777,600		
Institutional Operations Admin	4,220,300	2,892,000	2,284,400	3,575,600	3,546,100
DIO Draper Facility	54,306,700	57,446,100	50,760,900	51,930,800	51,765,500
DIO Central Utah/Gunnison	21,082,300	21,512,600	19,206,900	19,917,800	19,845,300
DIO Southern Utah/Iron County	1,754,900	1,924,200	354,700		
DIO Placement	1,526,200	1,463,100	1,410,600	1,688,400	1,634,500
DIO Transition	5,654,100	3,384,400			
DIO Programming			5,836,700	6,646,900	6,565,500
DIO Support Services	2,123,700	2,433,900	2,394,800	3,073,700	3,065,200
DIO Jail Contracting		17,195,200			
<b>Total</b>	<b>\$137,994,400</b>	<b>\$155,528,800</b>	<b>\$132,384,500</b>	<b>\$139,007,200</b>	<b>\$138,363,700</b>
<b>Expenditures</b>					
Personal Services	99,842,700	104,308,900	103,516,900	107,719,000	107,399,900
In-State Travel	213,100	110,400	58,800	87,700	87,000
Out of State Travel	65,500	41,300	26,400	900	900
Current Expense	31,770,800	27,480,700	24,038,700	25,461,800	25,036,500
DP Current Expense	3,486,800	2,268,700	2,368,400	2,228,000	2,228,200
DP Capital Outlay	34,500	951,800	98,200		
Capital Outlay	232,000	25,900	95,600	254,100	497,400
Other Charges/Pass Thru	2,657,800	19,981,900	2,202,700	3,255,700	3,113,800
Cost of Goods Sold	(308,800)	359,200	(21,200)		
<b>Total</b>	<b>\$137,994,400</b>	<b>\$155,528,800</b>	<b>\$132,384,500</b>	<b>\$139,007,200</b>	<b>\$138,363,700</b>
<b>FTE/Other</b>					
Total FTE	2,029	2,040	2,012	2,012	2,012
Vehicles	211	278	329	339	339

\*Non-state funds as estimated by agency.